

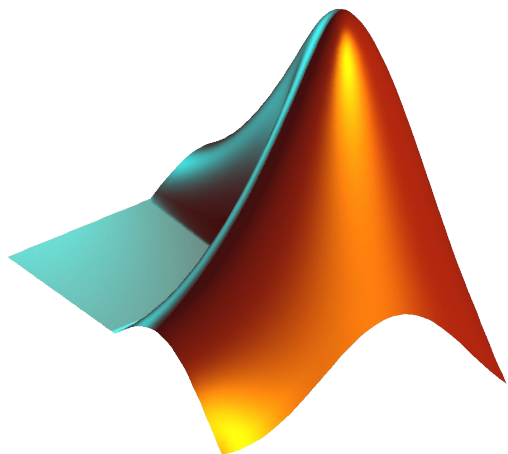
# CS 1112 Introduction to Computing Using MATLAB

Instructor: Dominic Diaz

Website:

<https://www.cs.cornell.edu/courses/cs1112/2022fa/>

Today: sorting/efficiency



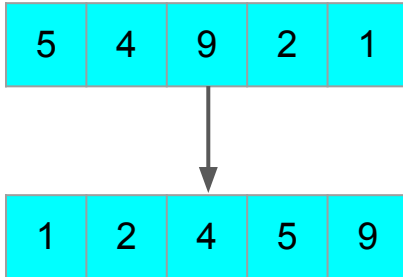
# Agenda and announcements

- Last time
  - Merge sort
  - Binary search
- Today
  - An algorithm for sorting
  - Efficiency analysis
- Announcements
  - Project 6 due Dec 5th
  - Code 'til you drop session on Dec 14th
  - Final exam on Thursday, December 15th from 2 - 4:30 PM in Olin 155
    - Check your “final exam time and location” CMS assignment if you have an SDS letter. If you have 3+ finals in a 24 hour period, submit a regrade request and we can reschedule this exam.

# Searching is easier in a sorted list

Last time: binary search repeatedly halved our search space.

First we need to sort our list though.



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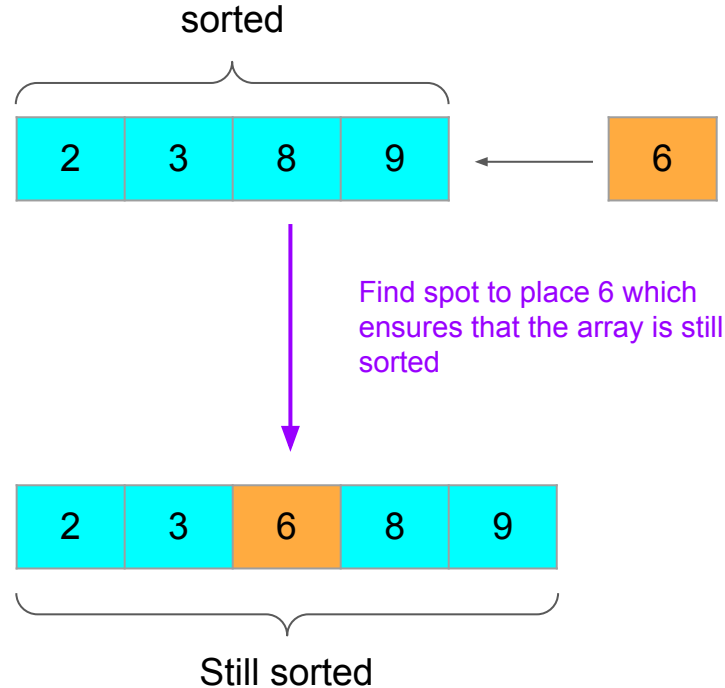
07 566-1282	<b>Cartage New England Inc</b> 26 Allen Ln Ipswich 01938..... 978 356-9960	<b>Carter F</b> 34 Hillock Ros 02131..... 617 327-1105	<b>Carter Nelia E</b> 333 Massachusetts Av Bos 02115..... 617 267-6483
81 447-4101	<b>Cartagama Lydia</b> 18 Jewett Ros 02131..... 617 323-7639	<b>Faye &amp; Ricky</b> 357 Columbus Av Bos 02116..... 617 437-7331	<b>Nicholas S F</b> 115 Randolph Av MI 02186..... 617 698-5307
00 257-9981	<b>Cartagena Avith</b> 9 Bancroft Row 02119..... 617 442-9780	<b>Francis S</b> 134 Temple W Row 02132..... 617 323-6781	<b>Nick &amp; Debbie</b> 21 Fairfield Bos 02116..... 617 267-5222
07 566-1282	<b>B Hyl</b> 02136..... 617 361-5253	<b>Franklin &amp; Anne</b> 221 Mt Auburn Cam 02138..... 617 354-0798	<b>Nicole</b> 196 Herrick Rd Newton 02459..... 617 527-0480
07 364-5188	<b>Jessica</b> 50 Decatur Cha 02129..... 617 241-0152	<b>Fred</b> 42 Haverford Jam 02138..... 617 524-3078	<b>Norman G</b> 38 Chickatawot Dor 02122..... 617 822-1203
	<b>M</b> 95 Rowe Ros 02131..... 617 323-9713	<b>Fred</b> 94 Hinkley Rd MI 02186..... 617 698-1343	<b>P</b> 94 Crestwood Pl Ros 02121..... 617 427-4754
	<b>Melvin</b> 501 Green Cam 02139..... 617 576-1061	<b>G &amp; R</b> 8 Verdon Dor 02124..... 617 436-8906	<b>P E</b> 501 E Sixth S Bos 02127..... 617 268-4213
361-0380	<b>Carte Nicholas</b> 18 Appleton Boston 02116..... 617 695-6996	<b>G T</b> 27 Franklin Av Som 02145..... 617 623-7121	<b>P L</b> 44 Hutchings Row 02121..... 617 427-9170
07 566-4548	<b>Cartegena O</b> 4 Millard Row 02118..... 617 338-8219	<b>Gayle</b> 25 Frontenac Dor 02124..... 617 825-0322	<b>P R</b> 91 Byrner Jam 02130..... 617 983-8692
07 628-8248	<b>Carten Thos J Sr &amp; Claire</b> 1 Paradise Rd MI 02186..... 617 698-6163	<b>Geo S</b> 115 Moss Hill Rd Jam 02130..... 617 522-3215	<b>Paul &amp; Constance</b> 114 Anawan Av W Ros 02130..... 617 325-2036
07 445-5116	<b>Thomas &amp; Kathleen</b> 50 Thompson Ln MI 02186..... 617 696-6919	<b>George</b> 125 Nashua Bos 02114..... 617 367-9548	<b>Paul E</b> 501 E Sixth St S Bos 02127..... 617 268-4546
07 822-2982	<b>Carte A Ros</b> 02131..... 617 327-2257	<b>Carter Halliday Associate</b> 107 S Street Bos 02111..... 617 456-1689	<b>Paul M</b> 27 Union Str 02135..... 617 787-2115
07 427-5712	<b>A Rosbury</b> ..... 617 442-5230	<b>Carter Harry F</b> 26 Ransing Bk Rd W Row 02132..... 617 325-5465	<b>Carter Pile Driving Inc</b> 17 Beaver C Franking Mill 02102..... Welsley Tpk-781 235-8488
07 569-2698	<b>A</b> 31 Bethune Wy Rosbury 02119..... 617 442-1219	<b>Carter Hide Co Inc</b> 146 Summer Bos 02110..... 617 542-7987	<b>Carter Prudence</b> 46 Franklin Watertown 02172..... 617 393-3782
07 667-5190	<b>A</b> 260 Putnam Av Cambridge 02139..... 617 492-4174	<b>Carter Hilary</b> 61 Harvey Cam 02140..... 617 876-2750	<b>Prudence</b> 46 Franklin Watertown 02172..... 617 926-7063
07 569-1417	<b>A M</b> 255 Massachusetts Av Bos 02115..... 617 266-7153	<b>Horace</b> 241 Walnut Av Rosbury 02119..... 617 442-5307	<b>Reginald</b> 106 Brunswick Dorchester 02122..... 617 541-2843
07 338-9110	<b>Adams</b> 361 Centre St MI 02186..... 617 698-9074	<b>Howard Jr</b> 26 Notre Dame Row 02119..... 617 445-5552	<b>Renee &amp; Andrew</b> 30 Walnut Bos 02108..... 617 720-3765
07 825-9195	<b>Alice</b> 108 Kilmarnock Bos 02115..... 617 425-0193	<b>J Cam</b> ..... 617 354-2688	<b>Carter Rice Dowd</b> Bulkley Dutton Publishing 163 Main Wilmington 01887 Toll Free-Dial 7 & Then..... 800 638-1671
	<b>Alice</b> 45 Market Cambridge 02139..... 617 945-2711	<b>J</b> 15 Chatham Bro 02446..... 617 232-7990	<b>Cust Svc-Industrial Prod</b> 613 Main Wilmington Toll Free-Dial 7 & Then..... 800 619-7447
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07 296-1593	<b>Carter Athens</b> 272 Newbury Boston 02116..... 617 536-6329	<b>Carter J Jacques MD</b> 1 Brookline Pl Bro 02446..... 617 735-8787	<b>Ingalls Cronin</b> 163 Main Wilmington 01887 Toll Free-Dial 7 & Then..... 800 638-1673
07 670-2078	<b>B E</b> 48 Gladstone Av Mat 02126..... 617 296-6911	<b>Carter J M</b> 1410 Columbia Rd S Bos 02127..... 617 464-1040	<b>Carter Richard</b> 1679 Cornweth Av Brighton 02215..... 617 987-0836
07 623-9001	<b>Carter Barbara L MD</b> Tufts-New England Medical Center Bos 02111 Call..... 617 636-0051	<b>Carter J M Ornamental Ironworks</b> Call..... Pendrake Tpk-617 436-5353	<b>Richard A</b> 97 Mt Vernon Bos 02108..... 617 566-7293
07 296-4725	<b>Carter Becky</b> Bos 02114..... 617 523-4368	<b>Carter J Veal Co</b> 48 Newmarket Sq Row 02118..... 617 442-1775	<b>Richard A MD</b> 170 Cornweth Av Bos 02116..... 617 267-0710
07 542-1521	<b>Bernard J</b> 112 Gladstone E Bos 02128..... 617 567-3430	<b>Carter James</b> 1573 Cambridge St Cam 02138..... 617 492-1214	<b>Richard R K</b> 15 Mercer S Bos 02127..... 617 268-0448
07 364-5232	<b>Bithiah</b> 25 Medway Dor 02124..... 617 298-8713	<b>James</b> 182 Fisher Av Rosbury 02120..... 617 739-2193	<b>Robert L</b> 175 Rickdale Av Cam 02140..... 617 864-1535
07 541-5649	<b>Blake</b> 26 Mt Vernon Bos 02108..... 617 367-9931	<b>James</b> 37 Gold Star Rd Cambridge 02140..... 617 876-8841	<b>Roger</b> 150 St Botolph Bos 02115..... 617 424-6148
07 739-2662	<b>Carter Broadcasting Co</b> 20 Park Pl Bos 02116..... 617 423-0210	<b>Jas L</b> 14 Roseberry Rd Mat 02126..... 617 361-0773	<b>Roy &amp; Concord Av</b> Cam 02138..... 617 491-6115
07 879-0030	<b>Carter &amp; Burgess Consultants Inc</b> 23 East St Cam 02141..... 617 225-0200	<b>Jane</b> 114 Adena Rd Newton 02460..... 617 964-0435	<b>Royce</b> 18 Seminary Cha 02129..... 617 241-0418
07 541-3948	<b>Carter C</b> 2000 Cornweth Av Bri 02135..... 617 782-2118	<b>Jeffrey</b> 41 Warren Av Bos 02116..... 617 426-5994	
07 436-1513	<b>C</b> 228 Faywood Av East Boston 02128..... 617 569-1545	<b>John</b> 11 Mansfield Bri 02134..... 617 987-2163	
07 569-4119	<b>C</b> 359 Harvard Cam 02138..... 617 491-4822	<b>John</b> 327 Summer Bos 02110..... 617 423-4334	
07 569-4119	<b>C</b> 610 Wash Hill Mat 02126..... 617 296-6392	<b>John</b> 40 Westwind Rd Dor 02125..... 617 282-1235	
00 569-8782	<b>C &amp; M</b> 43 Burroughs Jam 02130..... 617 524-9558	<b>June O</b> 329 A Summit Av Bri 02135..... 617 734-6109	
		<b>K</b> 38 Browning Av Dorchester 02124..... 617 265-8456	
		<b>K</b> 17 Edmond Dorchester 02122..... 617 282-1593	

# There are many algorithms for sorting

- Merge sort (discussed last lecture)
  - Selection sort (exercise yesterday)
  - **Insertion sort (discussed this lecture)**
  - Bubble sort (read insight section 8.2)
  - Quick sort (a variant used by MATLAB's built-in sort function)
- 
- **Each has advantages and disadvantages. Some algorithms are faster (time efficient) while others are memory-efficient.**

# The insertion process

Given a sorted array  $v$ , insert a number  $x$  such that the result is sorted.



# Insertion process



Append 6 to the end of the sorted array



Since  $9 > 6$ , swap



Since  $8 > 6$ , swap



Since  $3 < 6$ , stop swapping

Array is sorted once again!

# Another insertion



Insert



Append -1 to the end



Since  $9 > -1$ , swap



Since  $8 > -1$ , swap



Since  $6 > -1$ , swap



Since  $3 > -1$ , swap



Since  $2 > -1$ , swap

Sorted again, yay!

# Sort vector x using the Insertion Sort algorithm



To sort using insertion sort, need to start with a sorted subvector. How do you find one?

Length 1 vector is always sorted



Append 7 and swap until sorted again



Append -1 and swap until sorted again



Append 2 and swap until sorted again



Append 4 and swap until sorted again



Take a second to think about when insertion sort is most efficient and least efficient. What should the starting vector look like?



# How much “work” is insertion sort?

- In the worst case, make  $k$  comparisons to insert an element into a sorted array of  $k$  elements. To sort an array of length  $N$ , how many comparisons do we need to do?

$$1 + 2 + 3 + \dots + (N-1) = N(N-1)/2$$

- In the best case, make 1 comparison after inserting each new number. To sort an array of length  $N$ , how many comparisons do we need to do?

$$1 + 1 + 1 + \dots + 1 = N-1$$



When talking about the **time complexity** of an algorithm, We take the number of computations (or comparisons) in the worst case and get rid of constants:  $O(N^2)$ .

This big  $O$  corresponds to the limiting behavior... what the function tends to as  $N$  gets very large.

# Efficiency considerations

- Worst case, best case, average case number of calculations (or comparisons)
- Memory use and access
  - For example, in insertion sort we can create an extra vector to store the “sorted so far” vector

Original vector	5	-2	0	6	7	2
Sorted so far vector	-2	0	5	6		

- We could sort the vector “in place” as well (this would not incur extra memory costs)

5	-2	0	6	7	2
-2	5	0	6	7	2
-2	0	5	6	7	2

```
function x = insertSortInplace(x)
% Sort vector x in ascending order using Insertion sort
n = length(x);
for i = 1:n-1
    % Sort x(1:i+1) given that x(1:i) is sorted
    j = i;
    need2swap = x(j+1) < x(j);
```

```
end
```

```
function x = insertSortInplace(x)
% Sort vector x in ascending order using Insertion sort
n = length(x);
for i = 1:n-1
    % Sort x(1:i+1) given that x(1:i) is sorted
    j = i;
    need2swap = x(j+1) < x(j);
    while need2swap
        temp = x(j);
        x(j) = x(j+1);
        x(j+1) = temp;
        j = j-1;
        need2swap = j>0 && x(j+1)<x(j);
    end
end
end
```

Efficiency:

Time complexity: In the worst case, do about  $n \cdot (n-1)/2$  comparisons so the time complexity is  $O(n^2)$ .

Space complexity: In the worst case, we need 5 *extra* spaces of memory. Constants become 1 so we say the space complexity is  $O(1)$ .

Because it does not depend on  $n$ .

What is the time and space complexity of this function if the input A is a matrix of size  $n \times n$ .

```
function B = pos(A)

B = zeros(size(A));
[nr, nc] = size(A);
for i = 1:nr
    for j = 1:nc
        if A(i,j) < 0
            B(i,j) = A(i,j)*(-1);
        else
            B(i,j) = A(i,j);
        end
    end
end
end
end
```

Time: In the code, we're doing  $n^2$  things ( $n^2$  comparisons)  $\rightarrow O(n^2)$

Space: We're creating  $n^2 + 4$  extra things in memory (B, nr, nc, i, and j)  $\rightarrow O(n^2)$ .

When doing  $a \cdot n^2 + b \cdot n + c$  computations or creating  $a \cdot n^2 + b \cdot n + c$  extra things in memory, the complexity is  $O(n^2)$ .

Get rid of constants and lower order terms.

# Extra slide on complexity

When your code takes an input of length  $n$ , how many computations (or comparisons) does it do? How much *extra* memory space does your code require (other than the length  $n$  input)?

Steps:

1. Compute the number of computations (or extra spaces of memory) to get a function in terms of  $n$ . For example,  $10 \cdot n^3 + n + 10$ .
2. Constants in this function become 1 and we throw away lower order terms. In the example above, we would say the time (or space) complexity is  $O(n^3)$ .
  - a. If we do a constant number of computations or only constant memory space is used (constant means that these numbers don't grow with  $n$ ), we say the time (or space) complexity is  $O(1)$ .

What does this “Big-O” mean? It means “what is the limiting behavior of this function?”. When we take the limit as  $n$  gets large what term dominates? We don't care about the constant but we care about degree of the largest term. This is a very useful tool when comparing algorithms.

# How can we use complexity to compare algorithms?

Consider the two following codes that do the exact same thing.

```
% Given some 2D array A of size nxn
for i = 1:size(A,1)
    for j = 1:size(A,2)
        if i == 1
            A(i,j) = Inf;
        end
    end
end
```

```
% Given some 2D array A of size nxn
for j = 1:size(A,2)
    A(1,j) = Inf;
end
```

The left code does  $n^2$  comparisons plus  $n$  variable assignments. So we would say the time complexity of the left code is  $O(n^2)$ . The right code does  $n$  assignments. So we would say the time complexity of the right code is  $O(n)$ . The right code is much faster and we are able to use this complexity analysis to compare these algorithms that do the same thing.



Check out this link for a comparison of different sorting algorithms:  
<https://www.toptal.com/developers/sorting-algorithms>



# What do I need to know in terms of sorting and searching?

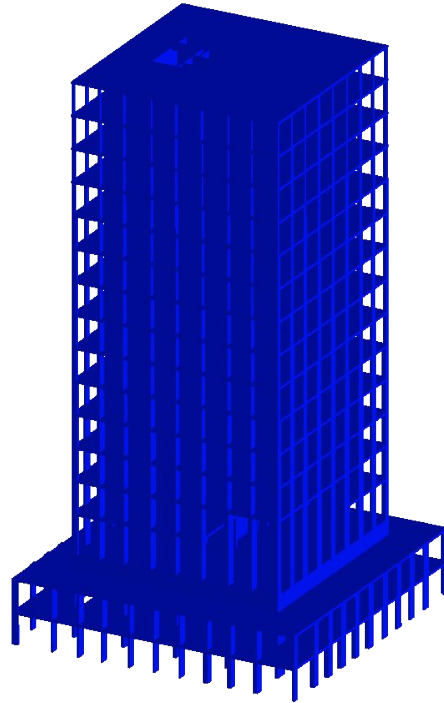
- Should be able to implement a sorting algorithm from pseudocode (like this week's exercise)
- Should be able to analyze the efficiency of a sorting algorithm (time and space complexity in the worst case scenario).
  - Merge sort is a little too difficult to analyze for this course
  - Practice with insertion sort from ex 14
  - Practice with bubble sort from the textbook
- Should be able to complete an incomplete binary search code.

# What we learned in this course

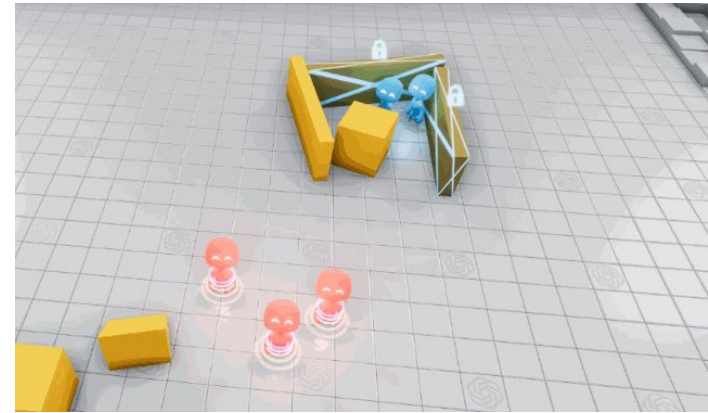
- Develop and implement algorithms for solving problems
- Develop programming skills
  - Design, implement, document (comments), test, and debug
- Programming tool bag
  - Functions for reducing redundancy
  - Control flow (if-else, loops)
  - Data structures, types
  - Graphics
  - File handling
- Applications and concepts
  - Image processing
  - Object-oriented programming
  - Sorting and searching
  - Randomness
  - Simulation, sensitivity analysis
  - Computational efficiency

# Where to go from here?

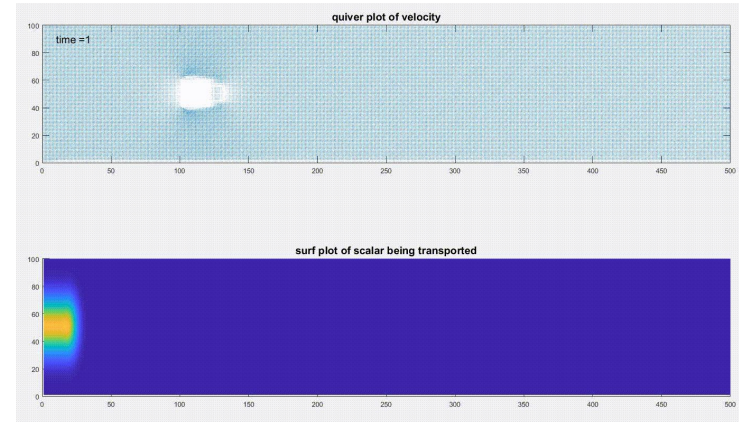
- Learn another programming language
- Explore cool/impactful applications!



<https://www.appliedscienceint.com/structural-engineering-services/>



<https://openai.com/blog/emergent-tool-use/>



# Computing gives us insight into a problem

- We build models and write programs so that we can “play” with the models and parameters
- Good programs...
  - Have been thoroughly tested
  - Are cleanly organized
  - Are well-documented
  - Use appropriate data structures and algorithms
  - Are efficient in time and memory

Best wishes and good luck  
with your exams!!